

## COMPLIANCE QUESTIONNAIRE

<b>CLIENT DETAILS</b>	
Name entity	
Client number	
Legal advisor	
Tax advisor	

*(To be filled out by client)*

*\* UBO is the Ultimate Beneficial Owner of the Company*

<b>1A UBO* Natural Person (or through a settler/foundation/Trust)</b>	
Full name	
Address (no P.O. Box)  <i>If different, also mention tax residence</i>	
Phone  Fax  E-mail  Date and place of birth	
Nationality <i>Please enclose an original certified copy of the relevant pages of your passport</i>	
Marital status	



Name and full address of bank where you hold your main account(s) <i>Please enclose a bank reference letter</i>	
Please describe the key elements in your professional career or enclose a résumé/‘walk of life’	
If you do not appreciate direct contact please provide replacement contact  Full name  Address  Phone / fax  E-mail  Relation  and a letter stating that this person may be contacted	
<b>1B UBO Corporate/Trust/Foundation.</b>	
Full name	
Full address (no P.O. Box)	
Phone  Fax  E-mail  Website	





<p>External accountant / Tax adviser / Legal adviser</p> <p><i>If yes please fill out Name(s) and contact person(s)</i></p>	<p style="text-align: center;"><b>Yes</b>                      <b>No</b></p>
<p style="text-align: center;"><b>3</b>                                      <b>Source of Wealth / Source of Funds</b></p> <p style="text-align: center;"><i>Please enclose the relevant (fully signed) underlying documentation</i></p>	
<p>Source of Wealth (to be) invested in the Structure</p> <p>→Public placement of securities: name of securities, name of Stock Exchange and date of placement</p> <p>→Active entrepreneurial: mention name and activities <i>If possible, please enclose brochure and copy of the most recent (audited) accounts</i></p> <p>→Former entrepreneurial: please describe and, if sold, mention selling-date and name of purchaser</p> <p>→Income from current or former profession/employment, please describe</p> <p>→Inheritance: mention date, name of the testator/testatrix and your relationship</p> <p>→<i>If possible, please enclose formal Evidence</i></p> <p>→Other, please describe</p>	<p><i>Please tick of the applicable box and insert structure: description</i></p>
<p><i>Please note that regarding any funds (to be) invested in the structure through the Company, the Company's records must contain the relevant (fully signed) underlying documentation.</i></p>	
<p><b>4</b></p>	<p style="text-align: center;"><b>Signing</b></p>

<b>Place</b>	<b>Date</b>
<b>Name</b>	<b>Signature :-</b> _____

**Processing of personal data**

Cawmere will use and record the information that it obtains from the Client or his/her representative for the purpose of administering the Company and for auditing, risk assessment, fraud and crime prevention.

In administering the Company Cawmere may be required to share the information concerning the Client with other Cawmere group companies.

In addition, Cawmere may be required to disclose information about the Client to regulatory bodies.

Subject to the above and unless it has the duty to disclose or is compelled to do so by law; Cawmere shall not disclose any information about the Client or the Company without the prior consent of the Client or Authorized Person.

The Client may request, in writing, copies of the records relating to their company which are held by Cawmere.

The Client may be required periodically to provide Cawmere with updated compliance information in the event that there are errors or upon the expiration of such documents.